

UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20530

SUPPLEMENTAL STATEMENT

*Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended*

5 JAN 1977

For Six Month Period Ending _____
(Insert date)

Name of Registrant

Registration No. 810

Charles von Loewenfeldt, Inc.

Business Address of Registrant

1333 Gough St., #6-D
San Francisco, CA 94109

I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

N.A.

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input type="checkbox"/>

2. Explain fully all changes, if any, indicated in Item 1.

N.A.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? ☐ Yes ☒ No

If yes, identify each such person and describe his services.

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6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? ☐ Yes ☒ No

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
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II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Japan Air Lines Co., Ltd.

Japan Trade Center (JETRO) of San Francisco

Government of Japan through: a) Consulate General of Japan at San Francisco

b) Embassy of Japan, Washington, D.C.

c) U.S.-Japan Trade Council (Reg. # 929)

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

For activities and services rendered, see attached addendum.

¹ The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Japanese Government

The answer to Item 11 has listed all the activities of this registrant during the period in question. Some, but not all, of these activities constitute "political propaganda" within the meaning of the Act.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes ☒ No ☐

If yes, describe fully.

During the period in question, Charles von Loewenfeldt personally served as a director of the California Council for International Trade. The purpose of the CCIT is "to promote the growth of world trade by gathering and disseminating pertinent information; bringing together business leaders of foreign nations with those of California; encouraging firms not yet engaged in foreign trade to enter it; creating a favorable trade climate that will foster development of more industry and new business in California; and working towards elimination of trade barriers." Also, the secretariat for the California Council for International Trade was located within the office premises of Charles von Loewenfeldt, Inc. CCIT was, in other words, a separate client of Charles von Loewenfeldt, Inc., distinct and apart from all other Charles von Loewenfeldt, Inc. clients.

In the sense that CCIT espouses and works to encourage "free trade" for the benefit of Californians, its interests parallel, in some instances, those of Japan and other major nations that trade with the U.S.

² The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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See attached sheets.

\$101,513.42

Total

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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See attached sheets.

\$30,413.42

Total

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

Japanese Government, through U.S.-Japan Trade Council

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?
Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Japanese Government - approximately \$24,250.00 for this six-months period.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☒ Radio or TV broadcasts ☐ Magazine or newspaper ☒ Motion picture films ☐ Letters or telegrams
articles
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☐ Newspapers ☐ Libraries
☐ Legislators ☐ Editors ☒ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups

☐ Other (Specify) TV stations

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?

Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?

Yes ☒ No ☐

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☐ No ☐

Exhibit B⁷ Yes ☐ No ☐

N.A.

If no, please attach the required exhibit.

- (a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶ The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

⁷ The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6-month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?

Yes ☐ No ☐

N.A.

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

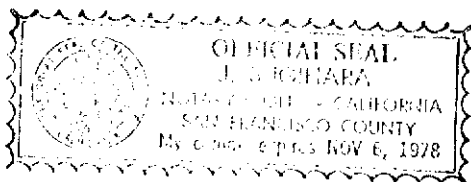
(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Charles von Loewenfeldt
Charles von Loewenfeldt, President

Susan Brossy Crosier
Susan Brossy Crosier, Exec. Vice President

Subscribed and sworn to before me at San Francisco

this 24th day of January, 19 77



J. Ignara
(Signature of notary or other officer)

⁸ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

ADDENDUM

III. Activities and Services rendered to foreign principals.

For the Japanese Government through the United States-Japan
Trade Council

1. Films

Registrant distributed the following two TV film clips.
From 10 to 15 stations throughout the country telecast the
films, with an estimated audience of 1 million.

Tokyo Stock Exchange (60 seconds)
Cop on the Beat (3 min)

Registrant distributed prints of documentary films (copies
of scripts already on file at Dept. of Justice) upon
request of various schools and universities. Film titles
are:

Growing Up Japanese
A Day for all People
Tokyo: World's Safest City
Pollution: Japan's Greatest Challenge
24 Hours in Japan

For the Japanese Government through the Embassy of Japan

1. Goodwill Visits

Registrant arranged for and accompanied Minister Hiromu Fukada on a goodwill visit to Missouri and Tennessee October 26-29, 1976.

Registrant began arrangements for goodwill visits of other Embassy officials (actual visits to take place in first quarter of 1977) to Kentucky, Indianapolis and Florida.

2. Advice to the Japanese Government

Meetings were held in Washington, D.C. by Registrant and various officials of the Embassy on a periodic basis to discuss plans for future public relations projects to be carried out on behalf of the Japanese Government.

For the Japanese Government through the Consulate General
of Japan at San Francisco

1. Research (conducted at request of Consulate General)

- a. Log exports
- b. Anti-whaling organizations and their activities
- c. Formation of Pacific Regional Management Council
- d. Buy-American Resolution introduced in Calif. State Assembly
- e. On a "Downtown" location for the Japan Information Service
- f. Analysis of press comment on Carter's views on international economy
- g. State hearings on international trade
- h. Pacific fishers management council
- i. World Wildlife Fund Fourth International Congress
- j. Survey of California's business climate by the Commission for Economic Development
- k. Consumer Warranties Act, SB 568
- l. Projections for U.S. softwood exports
- m. Background information on California members of the Pacific Fisheries Management Council
- n. Sierra Club evaluation of political candidates
- o. Presidential actions related to the Arab boycott issue
- p. Status of treaty related to the Unitary Tax matter
- q. Foreign Trade Zone #18 and Inventory Tax problem; AIA report on the Michelin decision
- r. Project Jonah's latest anti-whaling campaign
- s. 1975 Annual Report of the U.S. International Trade Commission

2. Assistance with speeches and letters in English

- a. Letter to 75 prominent U.S. citizens transmitting Prime Minister Miki's greetings on the occasion of the U.S. Bicentennial celebration
- b. Message from the Consul General to members of the Japanese Chamber of Commerce of Northern California
- c. Remarks for Deputy Consul General at Oakland-Fukuoka sister city celebration
- d. Speech on differences (cultural) between Americans and Japanese for delivery to Napa Rotary Club

3. Advice to the Consulate general

- a. Submitted a lengthy paper on "Suggestions for Public Relations activities for 1977" to the Japan Information Service
- b. Began planning for a "lecture tour" by a prominent U.S. Japanologist to be sponsored by the Consulate General, to take place in March in Denver and San Francisco
- c. Submitted recommendations for three U.S. journalists to be invited to visit Japan as guests of Japanese Government

For the Japan Trade Center (JETRO) at San Francisco --
We assist this client in developing activities to promote knowledge in the U.S. of Japanese products and of the potential markets in Japan for expanded American exports to that nation. We also gather information pertinent to U.S.-Japan trade

For Japan Air Lines Co., Ltd. -- As public relations counsel we advice this company on its promotional activities in connection with its international operations, the establishment of new routes and offices and its publicity programs.

Charles von Loewenfeldt, Incorporated

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SAN FRANCISCO, CALIFORNIA 94109 • (415) 922-5600

PUBLIC RELATIONS

January 5, 1977

14 (a) Receipts - Monies

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u>		<u>Total</u>
		<u>Fees</u>	<u>Production</u>	
7/27/76	Japan Air Lines		\$ 172.90	\$ 172.90
7/31/76	" " "	\$1,250.00		1,250.00
8/31/76	" " "	1,250.00		1,250.00
9/30/76	" " "	1,250.00		1,250.00
10/31/76	" " "	1,250.00		1,250.00
11/9/76	" " "		152.68	152.68
11/30/76	" " "	1,250.00		1,250.00
12/3/76	" " "		78.22	78.22
12/31/76	" " "	<u>1,250.00</u>		
		\$7,500.00	\$ 403.80	\$ 7,903.80

15 (a) Disbursements - Monies

Monies expended in connection with activity on behalf
of Japan Air Lines:

Travel	\$393.97
Photocopies	8.30
Postage	<u>1.53</u>
	\$403.80

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 5, 1977

(14 a) Receipts - Monies (Continued)

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u> <u>Fees</u>	<u>Total</u>
9/30/76	Japan Trade Center (JETRO) at San Francisco	\$ 2,400.00	\$ 2,400.00
12/31/76	" " "	<u>2,400.00</u>	<u>2,400.00</u>
		\$ 4,800.00	\$ 4,800.00

15 (a) Disbursements - Monies

NO monies expended in connection with activity
on behalf of the Japan Trade Center (JETRO).

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 5, 1977

14 (a) Receipts - Monies (continued)

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u>		<u>Total</u>
		<u>Fees</u>	<u>Production</u>	
7/31/76	Consulate General of Japan at San Francisco	\$ 800.00		\$ 800.00
8/31/76	" "	800.00		800.00
9/30/76	" "	800.00		800.00
10/31/76	" "	800.00		800.00
11/30/76	" "	800.00		800.00
12/31/76	" "	<u>800.00</u>		<u>800.00</u>
		\$4,800.00		\$ 4,800.00

15 (a) Disbursements - Monies

NO monies disbursed on behalf of Consulate General
of Japan at San Francisco.

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 5, 1977

14 (a) Receipts - Monies (Continued)

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u> <u>. Fees</u>	<u>Total</u>
9/23/76	Government of Japan through U.S.-Japan Trade Council, Inc.	\$18,000.00	\$18,000.00
10/19/76	" " "	3,000.00	3,000.00
11/8/76	" " "	3,000.00	3,000.00
12/6/76	" " "	3,000.00	3,000.00
		<hr/>	<hr/>
		\$27,000.00	\$27,000.00

15 (a) Disbursements - Monies

No monies expended in connection with activity
on behalf of United States-Japan Trade Council.

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 5, 1977

14 (a) Receipts - Monies (Continued)

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u> <u>Fees . Production</u>	<u>Total</u>
7/30/76	Government of Japan through Embassy of Japan \$	\$17,000.00	\$17,000.00
7/6/76	" " "	1,019.31	1,019.31
9/8/76	" " "	170.00	170.00
9/8/76	" " "	880.69	880.69
9/21/76	" " "	3,500.00	3,500.00
9/30/76	" " " 18,000.00		18,000.00
10/6/76	" " "	3,750.00	3,750.00
10/7/76	" " "	1,492.19	1,492.19
10/19/76	" " " 3,000.00		3,000.00
11/15/76	" " " 3,000.00		3,000.00
11/19/76	" " "	1,198.43	1,198.43
12/13/76	" " " 3,000.00		3,000.00
12/14/76	" " "	999.00	999.00
		<u>\$27,000.00</u>	<u>\$30,009.62</u>
			<u>\$57,009.62</u>

Charles von Loewenfeldt, Incorporated

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PUBLIC RELATIONS

January 5, 1977

15 (a) Disbursements - Monies

Monies expended in connection with activity on behalf
of the Embassy of Japan:

Travel	\$11,686.01
Telephone	333.44
Postage	133.75
Supplies	265.18
Business entertainment	43.80
Photography	350.00
Shipping & Messenger	58.44
Clipping service	14.00
Film prints	<u>17,125.00</u>
	\$30,009.62

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D. C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form DJ-302 - Supplemental Statement):

Yes XX or No _____

(If your answer to question 1 is "Yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes _____ or No _____

(If your answer to Question 2 is "Yes" please forward for our review copies of all such material including; films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)

Susan Brossy Crosier
Signature

January 21, 1977
Date

Susan Brossy Crosier
Please type or print name of
signatory on the line above

Executive Vice President
Title